UTILITY PATENT APPLICATION TRANSMITTAL onprovisional applications under 37 CFR 1.53(b))

| Attorney Docket No. | IBX-005 | |
|----------------------|--|----|
| First Named Inventor | Jill P. Card et al. | |
| Title | CONTROL OF COMPLEX MANUFACTURING PROCESSES USING CONTINUOUS PROCESS DATA | TO |

| (Only for new horiprovisional approcations affect of Cert 1.55(0)) | DATA P |
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| APPLICATION ELEMENTS | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 |
| 1. Fee Transmittal Form | <u> </u> |
| Small Entity Status Applicant claims small entity status Status established in prior application and is still proper and desired | ACCOMPANYING APPLICATION PARTS |
| 3. ☑ Specification and Drawings [Total Pages 28] - Written Description - (23 pages) - Claims - (4 pages) | 8. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney |
| Abstract - (1 page)Sheets of Drawings - (7 sheets) | 9. English Translation Document (if applicable) |
| ☐ Formal ☑ Informal | 10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations |
| 4. Oath or Declaration [Total Pages 3] a. Newly executed (original) b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) | 11. Preliminary Amendment Drawings [Total Sheets] |
| [Note Box 5 below] | 12. Return Receipt Postcard (specifically itemized) |
| 5. Incorporation by Reference (usable if Box 4b is checked) The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied | 13. Certified Copy of Priority Document(s) (if foreign priority claimed) |
| under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | 14. Nonpublication Request Under 35 U.S.C. 122(b) |
| 6. Application Data Sheet | 15. CD in duplicate for large table or computer program |
| 7. Nucleotide and/or Amino Acid Sequence Submission Computer Readable Form (CRF) Paper Copy (identical to computer copy) CD (2 copies) (identical to computer copy) Statement verifying identity of above copies | 16. Other: |
| 17. If a CONTINUING APPLICATION: Amend the speci | fication by inserting on page 1, before the first line, the sentence: |
| entire disclosure of which is incorporated by reference herein Priority to the above application(s) is claimed und | n-in-part of prior application Serial No. / , filed on , , the ler 35 U.S.C. 120. Group/Art Unit: |
| Priority of application Serial No. 60/397,282 filed | on <u>July 19, 2002</u> in the <u>U.S.</u> is claimed under 35 U.S.C. 119. b. application Serial No/ on |
| CORRESPONDENCE ADDRESS | SIGNATURE BLOCK |
| Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100 Customer No. 021323 | Respectfully submitted, Date: July 17, 2003 Reg. No. 33,497 Tel. No.: (617) 310-8108 Fax No.: (617) 248-7100 Respectfully submitted, Steven J. Frank Attorney for Applicant(s) Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 |



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| Application Serial Number | Not Yet Assigned |
| Filing Date | Herewith |
| First Named Inventor | Card |
| Group Art Unit | Not Yet Assigned |
| Examiner Name | Not Yet Assigned |
| Attorney Docket No. | IBX-005 |

| METHOD OF PAYMENT | | | FEE CALCULATION (continued) | | | | | |
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| 1. A Payment Enclosed: | | | 3. ADDI | TIONAL FE | ES | | | |
| Check Money Order Other | | | Large | Small | | | | |
| 2. The Commissioner is hereby authorized to credit | | | Entity | Entity | | | | |
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| Required Fees (copy of this sheet enclosed). | | | | 130 | 65 | Surcharge - late filing fee or oath | | |
| Additional fee required under 37 CFR 1.16 and | | | 50 | 25 | Surcharge - late provisional filing fee | | | |
| | 1.17. | yment Credit. | | | | | or cover sheet | |
| | | | | | 130 | 130 | Non-English specification | |
| 3. [] A | | laims small entit | | | 2,520 | 2,520 | Request for ex parte reexamination | |
| 1. FILING F | | EE CALCULA' | HON | | 110 | 55 | Extension for reply within first month | |
| I. FILING | EE | | | | 410 | 205 | Extension for reply within second | |
| Large Entit | tv | | | | 930 | 465 | month | |
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| 750 | Utility | filing fee | | 750.00 | 320 | 160 | Notice of Appeal | |
| 330 | | filing fee | | | 320 | 160 | Filing a brief in support of an appeal | |
| 160 | Provisi | onal filing fee | | | 280 | 140 | Request for oral hearing | |
| | | | | | 130 | 130 | Petitions to the Commissioner | |
| | | | | | 180 | 180 | Submission of Information Disclosure | |
| | Number | Number | Rate | Amount | 1 | | Statement | |
| | Filed | Extra | | | 750 | 375 | Filing a submission after final | |
| 1 | | | | | | | rejection (37 CFR 1.129(a)) | |
| Total Claims | 27 | - 20 = <u>7</u> | x \$ 18.00 = | 126.00 | 750 | 375 | For each additional invention to be | |
| Independent | | | | | 100 | 100 | examined (37 CFR 1.129(b)) Certificate of Correction for | |
| Claims | 6 | - 3 = <u>3</u> | x \$ 84.00 = | 252.00 | 100 | 100 | applicant's error | |
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| ☐ Multiple Dep | endent Clair | n(s), if any | \$280.00 = | | Other fee (Spe | ecify) | | |
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| CORRESPONDENCE ADDRESS | | | SIGNATURE BLOCK | | | | | |
| Direct all correspondence to: | | | | BOSE OF SILVE OF THE PROPERTY | | | | |
| Patent Administrator | | | | | Respectfully submitted, | | | |
| Testa, Hurwitz & Thibeault, LLP | | | Date: July 11, | | _X[00]_\/_ | | | |
| High Street Tower-125 High Street | | | Reg. No.: 33,4 | | Steven J. Frank | _ | | |
| Boston, MA 02110 | | | Tel. No.: (617 |) 310-8108 | Attorney for the Applicants | | | |
| Tel. No.: (617) 248-7000 | | | Fax No.: (617 | 7) 248-7100 | , | | | |
| Fax No.: (617) 248-7100 | | | | | High Street Tower-125 High | Street | | |
| | | | | | | | Boston, MA 02110 | |
| (revised 1/1/6 | 03) | | | | | | | |